

Information Disclosure Statement
Divisional Application

Jc784 U.S. PTO
09/629749
07/31/00

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir/Madam

Attached is a completed set of reference forms 2 sheets PTO/SB/08A (10-96).

Following are comments on these references.

U.S Tax Code-Sec.269B: This reference shows two different companies that have their stock shares stapled together.

U.S.Tax Code-Sec.1273(c) (2): This reference shows or refers generally to a debt instrument and a security issued together, specifically, as an investment unit. There is no mention of how this unit will operate after that issuance.

U.S. Tax Code-1275(a)(4): This reference shows that all debt obligations issued by a corporation with respect to its stock will be in return for property.

U.S Tax Code Sec.385 Factor (1): This reference contains the essential criteria that must be present for an instrument to be considered a debt of a business entity by the I.R.S.

U.S.Tax Code-Sec.163-General Statue: This reference states that the interest on debt is deductible.

U.S.Tax Code – Sec.163(i)(1)(a): This reference demonstrates that the interest on the debt does not have to be limited to a certain rate.

U.S Tax Code-Sec. 149(f)(3): This reference describes book entry and helps to point out that a debt does not have to be owned to be considered debt by the I.R.S

Bruck,Connie; "The Predators Ball": This reference demonstrates that interest on debt is deductible for the corporation even when individuals have held simultaneous ownership of the debt and the stock of a corporation.

Federal-Mogul Corporation, Rights Agreement: This reference shows how rights can be attached to a stock, travel with the stock and not separated from the stock.(Right margin cut off on this and Starwood reference, extra pages disclose full pertinent text of both references.)

Starwood Lodging 424B2: This reference demonstrates in more detail the pairing mechanism brought forth in Sec.269B and that there is a commonality of ownership of both shares of stock from the 2 companies.

None of these references contain a debt instrument issued to a share of equity and has no owner. These references are from different fields of study and were instrumental in the synthesis of the invention.

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number		
	Filing Date		
	First Named Inventor	Durham R. Maples	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	15	Attorney Docket Number	

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input checked="" type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Divisional Application of 09/071,878 Filing Date 05/04/98.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Durham Russell Maples
Signature	<i>Durham Russell Maples</i>
Date	07/28/00

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 07/28/00			
Typed or printed name	Durham Russell Maples		
Signature	<i>Durham Russell Maples</i>	Date	07/28/00

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jc784 U.S. PTO
09/629749



FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) **345.00** *D.R.M.*

Complete if Known

Application Number _____
Filing Date _____
First Named Inventor **Durham R. Maples**
Examiner Name _____
Group / Art Unit _____
Attorney Docket No. _____

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **330-9510**

Deposit Account Name **SAFE Fed. Credit Union**

☐ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	345
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) **345.00**

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	345
3**	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	345
Multiple Dependent	<i>D.R.M.</i>		

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent <i>D.R.M.</i>

SUBTOTAL (2) (\$) **345.00**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) _____

SUBMITTED BY

Name (Print/Type)	Durham Russell Maples	Registration No. (Attorney/Agent)	_____	Telephone	(803) 425-4592
Signature	<i>Durham Russell Maples</i>	Date	07/28/00		

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